

## UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offic

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED IN	IVENTOR	A	TTORNEY DOCKET NO.
09/432,53	9 11/03/	99 VINCI		J	EJ464624279L
		IM22/0425	$\neg$	E	XAMINER
WILLIAM C TRITT			TOOMER.C		
THE LUBRIZOL CORPORATION			ART UNIT	PAPER NUMBER	
	ELAND BOULI				8
WICKLIFFE	OH 44092-:	2298		1714	
				DATE MAILED:	
					04/25/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trad marks

		Application No.	Applicant(s)					
	<b>.</b>	09/432,539	VINCI, JAMES N.					
	Office Action Summary	Examiner	Art Unit					
		Cephia D. Toomer	1714					
	The MAILING DATE of this communication appears on the cov r she t with the correspond no address Period for Reply							
A SHI THE I - Exter after - If the - If NO - Failu - Any r	ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. Insions of time may be available under the provisions of 37 CFR 1.11 SIX (6) MONTHS from the mailing date of this communication. Period for reply specified above is less than thirty (30) days, a reply period for reply is specified above, the maximum statutory period or the to reply within the set or extended period for reply will, by statute eply received by the Office later than three months after the mailing of patent term adjustment. See 37 CFR 1.704(b).	36 (a). In no event, however, may a reply be ti y within the statutory minimum of thirty (30) day will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	mely filed  s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).					
1)🖂	Responsive to communication(s) filed on 09 F	ebruary 2001 .						
2a)⊠	This action is <b>FINAL</b> . 2b) Th	is action is non-final.	•					
3)	Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Dispositi	on of Claims	·	•					
4) 🖾	Claim(s) 1-20 is/are pending in the application	<b>1.</b>						
4a) Of the above claim(s) is/are withdrawn from consideration.								
5)	Claim(s) is/are allowed.							
6)⊠	6)⊠ Claim(s) <u>1-20</u> is/are rejected.							
7)	Claim(s) is/are objected to.							
8)	8) Claims are subject to restriction and/or election requirement.							
Applicati	on Papers		·					
9)	The specification is objected to by the Examine	er.						
10)	The drawing(s) filed on is/are objected to	to by the Examiner.						
11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved.								
12) The oath or declaration is objected to by the Examiner.								
Priority u	ınder 35 U.S.C. § 119							
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
	☐ All b)☐ Some * c)☐ None of:							
,	1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority documents have been received in Application No							
3. Copies of the certified copies of the priority documents have been received in this National Stage								
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.								
14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).								
Attachmen	t(s) .							
16) 🔲 Noti	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) rmation Disclosure Statement(s) (PTO-1449) Paper No(s)	19) Notice of Informa	ary (PTO-413) Paper No(s) I Patent Application (PTO-152)					

Art Unit: 1714

## **DETAILED ACTION**

This Office action is in response to the amendment filed February 9, 2001, in which claims 1, 6, 10, 17 and 18-20 were amended.

The previous 112, second paragraph rejections are withdrawn in view of the amendment to the claims.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 17 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1 and 17 are rejected because there is no antecedent support within the claims for "the ratio" and "the equivalents."

## Claim Rejections - 35 USC § 103

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 1-15 and 17-20 are rejected under 35 U.S.C. 102(b) as being anticipated by, or in the alternative, under 35 U.S.C. 103(a) as being obvious over Hollinghurst (US 3,652,410) for the reasons of record and the following reasons.

Application/Control Number: 09/432,539

Art Unit: 1714

Claim 16 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hollinghurst as applied to claims 1-15 and 17-20 above, and further in view of Ohtani (US 5,344,579) for the reasons of record.

Applicant argues that Hollinghurst does not specifically teach the claimed ratio of equivalents of overbased material to equivalents of hydrocarbyl phosphites. However, Hollinghurst teaches that the TBN of the overbased material is from about 150 to 400 (eq. wt 374-140), and the phosphite compounds may be dibutyl phosphite. Given the above information, Hollinghurst inherently teaches the claimed ratio.

Claims 1-15 and 17-20 are rejected under 35 U.S.C. 102(b) as being anticipated by, or in the alternative, under 35 U.S.C.103(a) as being obvious over Di Biase (US 5,523,005) for the reasons of record.

Applicant argues that Di Biase does not specifically teach the claimed ratio of equivalents of overbased material to equivalents of hydrocarbyl phosphites. However, Di Biase teaches that the TBN of overbased materials is about 315 and 387 (eq. wt 178 and 145), and the phosphite compounds may be dibutyl phosphite. Given the above information, De Biase inherently teaches the claimed ratio.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

Application/Control Number: 09/432,539

Art Unit: 1714

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cephia D. Toomer whose telephone number is 703-308-2509. The examiner can normally be reached on Monday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vasu Jagannathan can be reached on 703-306-2777. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9310 for regular communications and 703-872-9310 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0661.

Cdt/09432539.2f April 19, 2001 Mangaret Medley Margaret Medley Dominery Examiner